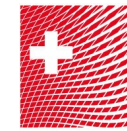


EXHIBIT E



finma

Eidgenössische Finanzmarktaufsicht FINMA
Autorité fédérale de surveillance des marchés financiers FINMA
Autorità federale di vigilanza sui mercati finanziari FINMA
Swiss Financial Market Supervisory Authority FINMA

Authorised banks and securities firms

Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
Aargauische Kantonalbank	Aarau 1	Bank	Cantonal banks	Swiss securities firm			3
ABANCA CORPORACION BANCARIA S.A., Betanzos, succursale de Genève	Genève 1	Foreign bank branch office	First branch office of a foreign bank		X		5
acrevis Bank AG	St. Gallen	Bank	Regional banks and savings banks	Swiss securities firm			4
AEK BANK 1826 Genossenschaft	Thun	Bank	Regional banks and savings banks	Swiss securities firm			4
AFS Execution Services B.V., Amsterdam, Zweigniederlassung Zürich	Zürich	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5
Allfunds Bank S.A., Madrid, Zurich Branch	Zürich	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Alpha RHEINTAL Bank AG	Heerbrugg	Bank	Regional banks and savings banks	Swiss securities firm			4
Alpian SA	Genève	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Alternative Bank Schweiz AG	Olten 1 Fächer	Bank	Other banks	Swiss securities firm			4
Appenzeller Kantonalbank	Appenzell	Bank	Cantonal banks	Swiss securities firm			4
Aquila AG	Zürich	Bank	Other banks	Swiss securities firm			5
Arab Bank (Switzerland) Ltd.	Genève 3	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
AXION SWISS BANK SA	Lugano	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			4
Baader Helvea AG	Zürich	Securities firm	Securities firm	Foreign-controlled securities firm		X	5
Baloise Bank SoBa AG	Solothurn	Bank	Regional banks and savings banks	Swiss securities firm			4
Banca Aletti & C. (Suisse) SA	Lugano	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
BANCA CREDINVEST SA	Lugano	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5

Authorised banks and securities firms



Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
BANCA DEL CERESIO SA	Lugano	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
BANCA DEL SEMPIONE SA	Lugano	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Banca dello Stato del Cantone Ticino	Bellinzona	Bank	Cantonal banks	Swiss securities firm			3
Banca Popolare di Sondrio (Suisse) SA	Lugano	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
BANCA ZARATTINI & CO. SA	Lugano	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Banco Itaú (Suisse) SA	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Banco Santander International SA	Genève 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Bank Avera Genossenschaft	Wetzikon ZH	Bank	Regional banks and savings banks	Swiss securities firm			4
Bank BSU Genossenschaft	Uster	Bank	Regional banks and savings banks	Swiss securities firm			5
Bank CIC (Schweiz) AG	Basel	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Bank Cler AG	Basel	Bank	Other banks	Swiss securities firm			3
Bank EEK AG	Bern	Bank	Regional banks and savings banks	Swiss securities firm			4
Bank EKI Genossenschaft	Interlaken	Bank	Regional banks and savings banks	Swiss securities firm			4
Bank für Tirol und Vorarlberg Aktiengesellschaft, Innsbruck, Zweigniederlassung Staad	Staad SG	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Bank Gantrisch Genossenschaft	Schwarzenburg	Bank	Regional banks and savings banks	Swiss securities firm			5
Bank in Zuzwil AG	Zuzwil SG	Bank	Regional banks and savings banks	Swiss securities firm			5
Bank J. Safra Sarasin AG	Basel	Bank	Foreign-controlled banks	Foreign-controlled securities firm			3



Authorised banks and securities firms

Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
Bank Julius Bär & Co. AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			3
Bank Leerau Genossenschaft	Kirchleerau	Bank	Regional banks and savings banks	Swiss securities firm			5
Bank Linth LLB AG	Uznach	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Bank Oberraargau AG	Huttwil	Bank	Regional banks and savings banks	Swiss securities firm			4
Bank of America Europe Designated Activity Company, Dublin, Zweigniederlassung Zürich	Zürich	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Bank of China Limited, succursale de Genève	Genève	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Bank SLM AG	Münsingen	Bank	Regional banks and savings banks	Swiss securities firm			4
Bank Thalwil Genossenschaft	Thalwil	Bank	Regional banks and savings banks	Swiss securities firm			5
Bank von Roll AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Bank Vontobel AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			3
BANK ZIMMERBERG AG	Horgen	Bank	Regional banks and savings banks	Swiss securities firm			5
bank zweiplus ag	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
BankMed (Suisse) SA	Genève 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Bank-now AG	Horgen	Bank	Other banks		X		5
Banque Algérienne du Commerce Extérieur SA	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5

Authorised banks and securities firms



Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
BANQUE AUDI (SUISSE) SA	Genève 12	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
BANQUE BANORIENT (SUISSE) SA	Genève 3	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Banque Bonhôte & Cie SA	Neuchâtel	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Banque Cantonale de Fribourg	Fribourg	Bank	Cantonal banks	Swiss securities firm			3
Banque Cantonale de Genève	Genève 2	Bank	Cantonal banks	Swiss securities firm			3
Banque Cantonale du Jura SA	Porrentruy 2	Bank	Cantonal banks	Swiss securities firm			4
Banque Cantonale du Valais	Sion	Bank	Cantonal banks	Swiss securities firm			3
Banque cantonale neuchâteloise	Neuchâtel	Bank	Cantonal banks	Swiss securities firm			4
Banque Cantonale Vaudoise	Lausanne	Bank	Cantonal banks	Swiss securities firm			3
Banque Cramer & Cie SA	Genève 12	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Banque de Commerce et de Placements SA	Genève 3	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Banque du Léman SA	Genève 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Banque Eric Sturdza SA	Genève 3	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Banque Havilland (Liechtenstein) AG, Vaduz, Zweigniederlassung Zürich	Zürich	Foreign bank branch office	First branch office of a foreign bank		X		5
Banque Havilland (Suisse) S.A.	Genève 11	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5

Authorised banks and securities firms



Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
BANQUE HERITAGE SA	Genève 6	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			4
Banque Internationale à Luxembourg (Suisse) SA	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Banque Internationale de Commerce - BRED (Suisse) SA	Genève	Bank	Foreign-controlled banks		X		5
Banque Lombard Odier & Cie SA	Genève 2	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			3
Banque Pictet & Cie SA	Genève 73	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			3
Banque Syz SA	Genf	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			4
Banque Thaler SA	Genève 3	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Barclays Bank (Suisse) SA	Genève 3	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Barclays Capital, Zurich Branch of Barclays Bank PLC, London	Zürich	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Basellandschaftliche Kantonalbank	Liestal	Bank	Cantonal banks	Swiss securities firm			3
Basler Kantonalbank	Basel	Bank	Cantonal banks	Swiss securities firm			3
Baumann & Cie KmG	Basel	Bank	Private bankers who do not solicit funds from the public	Swiss securities firm			5
BBO Bank Brienz Oberhasli AG	Brienz BE	Bank	Regional banks and savings banks	Swiss securities firm			5
BBVA SA	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4



Authorised banks and securities firms

Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
BERGOS AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Berner Kantonalbank AG	Bern	Bank	Cantonal banks	Swiss securities firm			3
Bernerland Bank AG	Sumiswald	Bank	Regional banks and savings banks	Swiss securities firm			4
Bezirks-Sparkasse Dielsdorf Genossenschaft	Dielsdorf	Bank	Regional banks and savings banks	Swiss securities firm			4
BGC BROKERS L.P., à Londres (Royaume-Uni), succursale de Nyon	Nyon	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5
Biene Bank im Rheintal Genossenschaft	Altstätten SG	Bank	Regional banks and savings banks	Swiss securities firm			5
BNP Paribas (Suisse) SA	Genève 11	Bank	Foreign-controlled banks	Foreign-controlled securities firm			3
BNP PARIBAS SECURITIES SERVICES, Paris, succursale de Zurich	Zürich	Foreign bank branch office	First branch office of a foreign bank		X		5
Bondpartners S.A.	Lausanne	Securities firm	Securities firm	Swiss securities firm			5
Bordier & Cie SCmA	Genève 11	Bank	Private bankers who do not solicit funds from the public	Swiss securities firm			4
bridport & cie sa	Genève 3	Securities firm	Securities firm	Foreign-controlled securities firm		X	5
BS Bank Schaffhausen AG	Hallau	Bank	Regional banks and savings banks	Swiss securities firm			5
Burgergemeinde Bern, DC Bank Deposito-Cassa der Stadt Bern	Bern	Bank	Regional banks and savings banks	Swiss securities firm			5
Burgerliche Ersparniskasse Bern, Genossenschaft	Bern	Bank	Regional banks and savings banks		X		5
BZ Bank Aktiengesellschaft	Wilen b. Wollerau	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
CA Indosuez (Switzerland) SA	Genève	Bank	Foreign-controlled banks	Foreign-controlled securities firm			3



Authorised banks and securities firms

Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
CACEIS Bank, Paris, succursale de Nyon / Suisse	Nyon	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Caisse d'Epargne Courtelary SA	Courtelary	Bank	Regional banks and savings banks	Swiss securities firm			5
Caisse d'Epargne d'Aubonne société coopérative	Aubonne	Bank	Regional banks and savings banks	Swiss securities firm			5
Caisse d'Epargne de Cossonay société coopérative	Cossonay	Bank	Regional banks and savings banks	Swiss securities firm			5
Caisse d'Epargne de Nyon société coopérative	Nyon	Bank	Regional banks and savings banks	Swiss securities firm			5
Caisse d'Epargne et de Crédit Mutuel de Chermignon société coopérative	Chermignon	Bank	Regional banks and savings banks		X		5
Caisse d'Epargne Riviera, société coopérative	Vevey 1	Bank	Regional banks and savings banks	Swiss securities firm			5
CBH Compagnie Bancaire Helvétique SA	Genève 3	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			4
Cembra Money Bank AG	Zürich	Bank	Other banks		X		4
China Construction Bank Corporation, Beijing, Swiss Branch Zurich	Zürich	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
CIM BANQUE SA	Genève 6	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Cité Gestion SA	Genève	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Citibank (Switzerland) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Citibank, N.A., Sioux Falls, succursale de Genève	Genève 3	Foreign bank branch office	Second branch office of a foreign bank	Second branch office of a foreign securities firm			4
Citibank, N.A., Sioux Falls, Zurich Branch	Zürich	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			4
CITIGROUP GLOBAL MARKETS LIMITED, London, Zweigniederlassung Zürich	Zürich	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5

Authorised banks and securities firms



Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
Clientis AG	Bern	Bank	Banks with a special field of business	Swiss securities firm			4
Clientis Bank Aareland AG	Küttigen	Bank	Regional banks and savings banks	Swiss securities firm			5
Clientis Bank im Thal AG	Balsthal	Bank	Regional banks and savings banks	Swiss securities firm			5
Clientis Bank Oberuzwil AG	Oberuzwil	Bank	Regional banks and savings banks	Swiss securities firm			5
Clientis Bank Thur Genossenschaft	Ebnat-Kappel	Bank	Regional banks and savings banks	Swiss securities firm			5
Clientis Bank Toggenburg AG	Kirchberg SG	Bank	Regional banks and savings banks	Swiss securities firm			5
Clientis EB Entlebucher Bank AG	Schüpfheim	Bank	Regional banks and savings banks	Swiss securities firm			5
Clientis Sparkasse Oftringen Genossenschaft	Oftringen	Bank	Regional banks and savings banks	Swiss securities firm			5
COMMERZBANK Aktiengesellschaft, Frankfurt am Main, Zweigniederlassung Zürich	Zürich	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Compass Asset Management SA	Lugano 2 Paradiso Caselle	Securities firm	Securities firm	Swiss securities firm		X	5
Cornèr Banca SA	Lugano	Bank	Other banks	Swiss securities firm			4
Crédit Agricole next bank (Suisse) SA	Genève 26	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Credit Europe Bank (Suisse) SA	Genève	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
CREDIT MUTUEL DE LA VALLEE SA	Le Sentier	Bank	Regional banks and savings banks	Swiss securities firm			5
Credit Suisse (Schweiz) AG	Zürich	Bank	Large banks	Swiss securities firm			1
Credit Suisse AG	Zürich	Bank	Large banks	Swiss securities firm			1
Crypto Finance (Brokerage) AG	Zürich	Securities firm	Securities firm	Foreign-controlled securities firm			5
Daiwa Capital Markets Europe Limited, Londres, succursale de Genève	Genève 3	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5



Authorised banks and securities firms

Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
Delen (Suisse) SA	Genève	Securities firm	Securities firm	Foreign-controlled securities firm			5
Deutsche Bank (Suisse) SA	Genève 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Deutsche Bank Aktiengesellschaft, Frankfurt a.M., Zweigniederlassung Zürich	Zürich 1	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Dreyfus Söhne & Cie. Aktiengesellschaft, Banquiers	Basel	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			4
Dukascopy Bank SA	Genève 15 Aéroport	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
DZ PRIVATBANK (Schweiz) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
E. Gutzwiller & Cie. Banquiers	Basel	Bank	Private bankers who do not solicit funds from the public	Swiss securities firm			5
Edmond de Rothschild (Suisse) S.A.	Genève 11	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			3
EFG Bank AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			3
EFG Bank European Financial Group SA	Genève 2	Bank	Foreign-controlled banks	Foreign-controlled securities firm			3
Entris Banking AG	Gümligen	Bank	Banks with a special field of business	Swiss securities firm			5
Equatex AG	Zürich	Securities firm	Securities firm	Foreign-controlled securities firm			5
Ersparniskasse Affoltern i.E. AG	Weier im Emmental	Bank	Regional banks and savings banks	Swiss securities firm			5
Ersparniskasse Rüeggisberg Genossenschaft	Rüeggisberg	Bank	Regional banks and savings banks	Swiss securities firm			5

Authorised banks and securities firms



Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
Ersparniskasse Schaffhausen AG	Schaffhausen	Bank	Regional banks and savings banks	Swiss securities firm			5
Ersparniskasse Speicher	Speicher	Bank	Regional banks and savings banks		X		5
EXANE DERIVATIVES, Paris, succursale de Genève	Genève	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5
EXANE SA, Paris, succursale de Genève	Genève	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5
F. van Lanschot Bankiers (Schweiz) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
FAB Private Bank (Suisse) SA	Genève 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Fidurhône SA	Genève 3	Securities firm	Securities firm	Swiss securities firm			5
Fisch Asset Management AG	Zürich	Securities firm	Securities firm	Swiss securities firm		X	5
FlowBank SA	Genève 26	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Frankfurter Bankgesellschaft (Schweiz) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Freie Gemeinschaftsbank Genossenschaft	Basel	Bank	Other banks		X		5
Gazprombank (Schweiz) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
GFI Securities Limited, Londres, Succursale de Nyon	Nyon	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5
Glarner Kantonalbank	Glarus	Bank	Cantonal banks	Swiss securities firm			4
Globalance Bank AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Goldman Sachs Bank AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5



Authorised banks and securities firms

Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
Goldman Sachs International, London, Zweigniederlassung Zürich	Zürich	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5
Gonet & Cie SA	Genève 11	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Grammont Finance SA	Lutry	Securities firm	Securities firm	Swiss securities firm		X	5
Graubündner Kantonalbank	Chur	Bank	Cantonal banks	Swiss securities firm			3
GRB Glarner Regionalbank Genossenschaft	Schwanden GL	Bank	Regional banks and savings banks	Swiss securities firm			5
Habib Bank AG Zürich	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Hardcastle Trading AG	Rapperswil SG	Securities firm	Securities firm	Foreign-controlled securities firm		X	5
Helvetische Bank AG	Zürich	Bank	Other banks	Swiss securities firm			5
Hottinger AG	Zürich	Securities firm	Securities firm	Swiss securities firm			5
HSBC Bank plc, London, Zweigniederlassung Zürich	Genève 1	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
HSBC Private Bank (Suisse) SA	Genève 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			3
Hypo Vorarlberg Bank AG, Bregenz, Zweigniederlassung St. Gallen	St. Gallen	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Hyposwiss Private Bank Genève SA	Genève 11	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			4
Hypothekarbank Lenzburg AG	Lenzburg	Bank	Regional banks and savings banks	Swiss securities firm			4
IBKR Financial Services AG	Zug	Securities firm	Securities firm	Foreign-controlled securities firm		X	5
IG Bank S.A.	Genève	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5

Authorised banks and securities firms



Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
IMAC Bond SA	Zug	Securities firm		Swiss securities firm		X	5
InCore Bank AG	Schlieren	Bank	Other banks	Swiss securities firm			5
Industrial and Commercial Bank of China Limited, Peking, Zweigniederlassung Zürich	Zürich	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
ING Bank N.V., Amsterdam, succursale de Lancy/Genève	Petit-Lancy 1	Foreign bank branch office	First branch office of a foreign bank		X		5
Investec Bank (Switzerland) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
ISP Securities AG	Zürich	Securities firm	Foreign-controlled banks	Foreign-controlled securities firm			5
J.P. Morgan (Suisse) SA	Genève	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
J.P. Morgan Securities plc, London, Zweigniederlassung Zürich	Zürich	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Jefferies International Limited, London, Zweigniederlassung Zürich	Zürich	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5
JL Securities SA	Genève	Securities firm	Securities firm	Swiss securities firm			5
JPMorgan Chase Bank, National Association, Columbus, Zurich Branch	Zürich	Foreign bank branch office	First branch office of a foreign bank		X		5
Kaleido Privatbank AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Kendra Securities House SA	Genève	Securities firm	Securities firm	Swiss securities firm			5
Kepler Cheuvreux (Suisse) SA	Nyon	Securities firm	Securities firm	Foreign-controlled securities firm		X	5
Leihkasse Stammheim AG	Oberstammheim	Bank	Regional banks and savings banks	Swiss securities firm			5
Leonteq Securities AG	Zürich	Securities firm	Securities firm	Swiss securities firm		X	5

Authorised banks and securities firms



Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
LF FINANCE (SUISSE) SA	Genève 3	Securities firm	Securities firm	Foreign-controlled securities firm			5
LGT Bank (Schweiz) AG	Basel	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Lienhardt & Partner Privatbank Zürich AG	Zürich	Bank	Regional banks and savings banks	Swiss securities firm			4
Luzerner Kantonalbank AG	Luzern	Bank	Cantonal banks	Swiss securities firm			3
Maerki Baumann & Co. AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
MBaer Merchant Bank AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Mercantil Bank (Schweiz) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
MG Finance SA	Pully	Securities firm	Securities firm	Swiss securities firm			5
Migros Bank AG	Zürich	Bank	Other banks	Swiss securities firm			3
Mirabaud & Cie SA	Genève	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			4
Morgan Stanley & Co. International plc, London, Zurich Branch	Zürich	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5
NBK Private Bank (Switzerland) Ltd	Genève 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Nidwaldner Kantonalbank	Stans	Bank	Cantonal banks	Swiss securities firm			4
Nomura Bank (Schweiz) AG	Zürich 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Northern Trust Global Services SE, Leudelange, Luxembourg, Zweigniederlassung Basel	Basel	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5

Authorised banks and securities firms



Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
NPB Neue Privat Bank AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Obwaldner Kantonalbank	Sarnen	Bank	Cantonal banks	Swiss securities firm			4
ODDO BHF (Schweiz) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
ONE swiss bank SA	Genève 1	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Piguet Galland & Cie SA	Yverdon-les-Bains	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			4
PKB PRIVATBANK SA	Lugano	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
PostFinance AG	Bern	Bank	Other banks	Swiss securities firm			2
Privatbank Bellerive AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Privatbank IHAG Zürich AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Privatbank Von Graffenried AG	Bern	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Private Client Bank AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
QNB (Suisse) SA	Genève 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5



Authorised banks and securities firms

Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
Quantus AG	Zürich	Securities firm	Securities firm	Swiss securities firm			5
Quilvest (Switzerland) Ltd.	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
radicant bank ag	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Rahn+Bodmer Co.	Zürich	Bank	Private bankers who do not solicit funds from the public	Swiss securities firm			4
Raiffeisen Schweiz Genossenschaft	St. Gallen	Bank	Banks with a special field of business	Swiss securities firm			2
RBC Investor Services Bank S.A., Esch-sur-Alzette, Zweigniederlassung Zürich	Zürich	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Regiobank Männedorf AG	Männedorf	Bank	Regional banks and savings banks	Swiss securities firm			5
Regiobank Solothurn AG	Solothurn	Bank	Regional banks and savings banks	Swiss securities firm			4
Reichmuth & Co.	Luzern	Bank	Private bankers who do not solicit funds from the public	Swiss securities firm			4
Remaco Asset Management AG	Basel	Securities firm	Securities firm	Swiss securities firm			5
Reuss Private AG	Zürich	Securities firm	Securities firm	Swiss securities firm		X	5
REYL & Cie SA	Genève	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Rothschild & Co Bank AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
S.P. Hinduja Banque Privée SA	Genève 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
SAXO BANK (SCHWEIZ) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
SB Saanen Bank AG	Saanen	Bank	Regional banks and savings banks	Swiss securities firm			5
Schaffhauser Kantonalbank	Schaffhausen	Bank	Cantonal banks	Swiss securities firm			4



Authorised banks and securities firms

Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
Schroder & Co Bank AG	Zürich 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Schwyz Kantonalbank	Schwyz	Bank	Cantonal banks	Swiss securities firm			3
Scobag Privatbank AG	Basel	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
SEBA Bank AG	Zug	Bank	Other banks	Swiss securities firm			5
SELVI & Cie SA	Genève 3	Securities firm	Securities firm	Swiss securities firm			5
Società Bancaria Ticinese SA	Bellinzona	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
SOCIETE GENERALE Private Banking (Suisse) SA	Genève 11	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Société Générale, Paris, Zweigniederlassung Zürich	Zürich 1	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
SPAR + LEIHKASSE GÜRBETAL AG	Mühlethurnen	Bank	Regional banks and savings banks	Swiss securities firm			5
Spar- und Leihkasse Bucheggberg AG	Lütterswil	Bank	Regional banks and savings banks	Swiss securities firm			5
Spar- und Leihkasse Frutigen AG	Frutigen	Bank	Regional banks and savings banks	Swiss securities firm			4
Spar- und Leihkasse Thayngen AG	Thayngen	Bank	Regional banks and savings banks	Swiss securities firm			5
Spar- und Leihkasse Wynigen AG	Wynigen	Bank	Regional banks and savings banks	Swiss securities firm			5
Spar+Leihkasse Riggisberg AG	Riggisberg	Bank	Regional banks and savings banks	Swiss securities firm			5
Sparcassa 1816 Genossenschaft	Wädenswil	Bank	Regional banks and savings banks	Swiss securities firm			5
Sparhafen Bank AG	Zürich	Bank	Regional banks and savings banks	Swiss securities firm			5
Sparkasse Schwyz AG	Schwyz	Bank	Regional banks and savings banks	Swiss securities firm			4
Sparkasse Sense	Tafers	Bank	Regional banks and savings banks	Swiss securities firm			5

Authorised banks and securities firms



Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
St. Galler Kantonalbank AG	St. Gallen	Bank	Cantonal banks	Swiss securities firm			3
State Street Bank International GmbH, München, Zweigniederlassung Zürich	Zürich	Foreign bank branch office	First branch office of a foreign bank		X		5
Swiss Bankers Prepaid Services AG	Grosshöchstetten	Bank	Other banks		X		5
Swiss Finance & Property AG	Zürich	Securities firm	Securities firm	Swiss securities firm		X	5
Swissquote Bank SA	Gland	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			4
Sygnium Bank AG	Zürich	Bank	Other banks	Swiss securities firm			5
Taurus SA	Genève	Securities firm	Securities firm	Swiss securities firm			5
Tellco AG	Schwyz	Bank	Other banks	Swiss securities firm			5
Tensor Technologies AG	Zug	Securities firm	Securities firm	Swiss securities firm		X	5
Thurgauer Kantonalbank	Weinfelden	Bank	Cantonal banks	Swiss securities firm			3
TradeXBank AG	Zürich	Bank					5
Trafina Privatbank AG	Basel	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
TULLETT PREBON (SECURITIES) LIMITED, Londres, succursale de Meyrin / Genève	Genève 15 Aéroport	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5
UBS AG	Zürich	Bank	Large banks	Swiss securities firm			1
UBS Europe SE, Frankfurt am Main, Zweigniederlassung Schweiz, Opfikon	Opfikon	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
UBS Switzerland AG	Zürich	Bank	Large banks	Swiss securities firm			1
UG Europe AG	Baar	Securities firm	Securities firm	Foreign-controlled securities firm		X	5



Authorised banks and securities firms

Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
UniCredit Bank AG, München, Succursale de Genève	Genève	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
UNION BANCAIRE PRIVEE, UBP SA	Genève 1	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			3
Union Securities Switzerland SA	Genève	Securities firm	Securities firm	Swiss securities firm		X	5
Uerner Kantonalbank	Altdorf UR	Bank	Cantonal banks	Swiss securities firm			4
Valcourt SA	Genève 11	Securities firm	Securities firm	Swiss securities firm		X	5
Valiant Bank AG	Bern	Bank	Regional banks and savings banks	Swiss securities firm			3
Vontobel Swiss Financial Advisers AG	Zürich	Securities firm	Securities firm	Swiss securities firm			5
VP Bank (Schweiz) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
VZ Depotbank AG	Zug	Bank	Other banks	Swiss securities firm			4
WIR Bank Genossenschaft	Basel	Bank	Other banks		X		4
Zähringer Privatbank AG	Bern	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Zuger Kantonalbank	Zug	Bank	Cantonal banks	Swiss securities firm			3
Zürcher Kantonalbank	Zürich	Bank	Cantonal banks	Swiss securities firm			2
Zürcher Landbank AG	Elgg	Bank	Regional banks and savings banks	Swiss securities firm			5

Total authorised banks and securities firms: 283